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PATENT  
Docket 125470

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Jeffrey Allan Pfaendtner, et al:  
Serial No.: 10/707,543 : Group Art Unit: 1762  
Filed: December 19, 2003 : Examiner:

For: NI-BASE SUPER ALLOY HAVING A THERMAL BARRIER COATING SYSTEM

Hon. Commissioner of Patents & Trademarks  
Washington, DC 20231

The PTO did not receive the following  
listed items(s) NPL

**INFORMATION DISCLOSURE STATEMENT**

- ☒ 1. PTO-1449 [1.97(b)] - (within 3 months or prior to first Office Action)
- ☐ 2. Certification [1.97(c)] - (before final Office Action or before Notice of Allowance)
- ☐ 3. Fee Payment [1.97(c)] - (before final Office Action or before Notice of Allowance)
- ☐ 4. Petition, Certification & Petition Fee Payment [1.97]

The following are submitted in the above-identified application in compliance with 37 CFR §§1.97 and 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed.

This paper is submitted in accordance with:

- ☒ 6. 37 CFR §1.97(b) (within three (3) months of the application filing date or before a first Office Action on the merits).
- ☐ 7. 37 CFR §1.97(c) (before final Office Action or Notice of Allowance, whichever is earlier); and
  - ☐ 8. The required certification made in Item 11 below, or
  - ☐ 9. The \$230.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.
- ☐ 10. 37 CFR §1.97(d) (before payment of issue fee); and

- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Mail Stop Non-Fee Amendment Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Mary A. Harris  
Signature

03/17/05  
Date

§1.17(i) is authorized in Item 14 below.

b) The required certification is made in Item 11 below.

☒ 11. Certification

☒ 12. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No.07-0865.

Respectfully submitted,



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March 17, 2005

MAR 21 2005

PTO/SB/08 (11-90)

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>		<b>Attorney Docket No.</b> 125470	<b>Application No.</b> 10/707,543
<b>Submitted by:</b> <i>Domenica N.S. Hartman</i>		<b>Applicant:</b> Jeffrey Allan Pfaendtner, et al	
<b>Domenica N.S. Hartman, Reg. No. 32,701</b>		<b>Filing Date:</b> 12/19/03	<b>Group Art No.</b> 1762

## U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	6,607,611	08/19/03	Darolia	148	277	
	6,283,714	09/04/01	Rigney, et al	416	241R	
	6,332,926	12/25/01	Pfaendtner, et al	118	721	
	6,306,524	10/23/01	Spitsberg, et al	428	621	
	6,190,471	02/20/01	Darolia, et al	148	537	
	US2003-044634 A1	03/06/03	Joseph, et al			

## FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Country	Class	Subclass	Translation Yes   No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"The effect of grit blasting on the oxidation behavior of a platinum-modified nickel-aluminide coating"; METALLURGICAL AND MATERIALS TRANSACTIONS A (PHYSICAL METALLURGY AND MATERIALS SCIENCE) MINERALS, METALS & MATER. SOC. & ASM INT USA, vol. 32A, no. 6, 1 June 2001 (2001-06-01), pages 1467-1478, XP008036870; ISSN: 1073-5623
<b>Examiner</b>	<b>Date Considered</b>

\*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.